Side letter Agreement between the State and California Attorneys, Administrative Law Judges and Hearing Officers in State Employment (CASE)

Side letter XX COVID 19 RECESSION

The COVID 19 Recession requires a reduction to the June 2020 budget of 9.23% for employees represented by CASE. CASE and the State enter into this side letter agreement which effectuates these cuts and any offsets. This side letter is effective at the beginning of the July 1, 2020 pay period and continues until June 30, 2022.

1. The parties agree that the reductions shall be achieved by the implementation of a Personal Leave Program (PLP) 2020. The components of which are attached hereto as Section 9.xx (new).

2. The parties agree that the employees' contribution to OPEB will be suspended for the term of this side letter consistent with the modification of Section 19.1 (Prefunding of Post-Retirement Health Benefits), which is attached.

3. The parties agree, with the modification of section 5:13 (Health Care Affordability), to continue the $260 Health Care Affordability payment which will cease to be paid, once the Personal Leave Program 2020 is eliminated, or no later than June 30, 2022. The language effectuating the change is attached.

4. The parties agree, with the modification of Section 11.1 (Consolidated Benefits Program Description), that the established flat dollar amounts shall be increased or decreased as appropriate pursuant to the formulas contained in the section on January 1, 2021 and 2022.

   If, at the sole discretion of the Director of Finance, the scheduled 2.5% GSI cannot be paid on July 1, 2022 because of insufficient state revenues and is suspended until July 1, 2023, the flat dollar amount will also be increased or decreased pursuant to the formula in this section on January 1, 2023.

5. The parties agree that effective July 1, 2022 that the retirement contribution for miscellaneous employees would be reduced to 8.5 percent and effective July 1, 2023 the parties will share, as specified, an auto-adjusted rate. This is effectuated by modifications to language in 14.3 (First Tier A retirement formula...)

6. The parties agree, with the modification of Sections 9.1 (vacation) and 9.11 (annual leave), that the 640 cap shall be increased by the equivalent number of Personal Leave Program (PLP) 2020 hours employees have been subject to until June 30 2025.
7. The parties agree upon the reopener language attached to this agreement as Section 5.X (new) (Contract Reopener Language-Elimination of Pay Decreases and Suspension).

8. The parties agree to submit to the State Personnel Board the proposed Workers' Compensation Judge II classification, within six months following ratification of this agreement, as referenced in the attached section 15.10.

9. The parties agree to discuss and attempt to address in good faith the following issues impacting Bargaining Unit 2 and to incorporate mutually agreed-upon solutions wherever possible in a successor MOU:

   a. Salary disparities facing state legal professionals.
   b. Classification, advancement, and salary disparity issues for state legal professionals.
   c. Factors which may limit the ability of Bargaining Unit 2 members to engage in the outside practice of law.
   d. The State's Family Leave Policy.
   e. State expense reimbursement for travel, lodging, and per diem.
Article:

Subject: Personal Leave Program – 2020

Effective with the first day of the July 1, 2020 pay period through the June 2022 pay period, subject to the triggers found in the reopener section of this contract, employees will be subject to the Personal Leave Program 2020 (PLP 2020) for 2 days or 16 hours per month (9.23% reduction) in the manner outlined below. PLP 2020 shall have no cash value and may not be cashed out except upon separation from employment.

A. Each full-time employee shall continue to work their assigned work schedule and shall have a reduction in pay equal to 9.23%

B. Each full-time employee shall be credited with 16 hours of PLP 2020 on the first day of each pay period for the duration of the PLP 2020 program.

C. Salary rates and salary ranges shall remain unchanged.

D. Employees will be given discretion to use PLP 2020 subject to operational considerations.

1. Where feasible, employees should use the leave in the pay period it was earned and it should be used before any other leave.

2. PLP 2020 time must be used before any other leave with the exception of sick leave.

3. Employees may elect to use PLP 2020 in lieu of approved sick leave.

4. PLP 2020 shall be requested and used by the employee in the same manner as vacation/annual leave.

5. Subject to the above, requests for use of PLP 2020 leave must be submitted in accordance with departmental policies on vacation/annual leave.

6. The hours earned under PLP 2020 shall not have an expiration date.

E. All leave earned under PLP 2020 should be used prior to voluntary separation. Appointing powers may schedule employees to take PLP 2020 time off to meet the intent of this section. If an employee's separation is not voluntary and the separation date cannot be extended PLP 2020 shall be cashed out.
F. Time during which an employee is excused from work because of PLP 2020 leave shall not be considered as "time worked" for purposes of determining the number of hours worked in a work week for the purposes of overtime.

G. A State employee shall be entitled to the same level of State employer contributions for health, vision, dental, flex-elect cash option, and enhanced survivor's benefits they would have received had the PLP 2020 not occurred.

H. PLP 2020 shall not cause a break in State service, nor a reduction in the employee's accumulation of service credit for the purposes of seniority and retirement. PLP 2020 does not affect other leave accumulations, or service towards a merit salary adjustment.

I. PLP 2020 shall neither affect the employee's final compensation used in calculating State retirement benefits; nor reduce the level of State death or disability benefits to supplement those benefits with paid leave; nor affect the rate at which accrued leave is paid upon separation.

J. The PLP 2020 reductions shall not affect transfer determinations between state civil service classifications.

K. The PLP reduction shall not change the full time status of any full time employee.

L. Part-time employees shall be subject to the same conditions as stated above, on a pro-rated basis. Pro-ration shall be determined based on the employee's time base consistent with the chart in Article 8.1K.

M. PLP 2020 for permanent intermittent employees shall be pro-rated based upon the number of hours worked in the monthly pay period, pursuant to the chart in Section P below.

N. PLP 2020 shall be administered consistent with the existing payroll system and the policies and practices of the State Controller's Office.

O. Employees on SDI, NDI, ENDI, IDL, EIDL, or Workers' Compensation for the entire monthly pay period shall be excluded from PLP 2020 for that month.

P. Seasonal and temporary employees are not subject to PLP 2020.

Q. All Permanent Intermittent employees shall be subject to the pro-ration of salary and PLP 2020 credits pursuant to the chart below.
Q-R. BU2 members shall be allowed to participate in the Voluntary Personal Leave Program (VPLP) as defined in Section VPLP 9 new, beginning the first pay period following the cessation of PLP 2020. Continuation of the Voluntary PLP (VPLP) during the duration of PLP 2020 shall be at the discretion of the employee. If the employee elects to discontinue participation in VPLP, they shall be allowed to opt out at any time during the PLP 2020 program.

R-S. Disputes regarding the denial of the use of PLP 2020 time may be appealed through the grievance procedure. The decision by the Department of Human Resources shall be final and there may be no further appeals.
Side letter Agreement between the State and California Attorneys, Administrative Law Judges and Hearing Officers in State Employment (CASE)

Article: xxxx

Subject: Voluntary Leave Program

a. Each full time employee choosing to participate in the Voluntary Personal Leave Program (VPLP) shall continue to work his or her assigned work schedule and shall have a reduction in pay equal to 4.62% (one day), 9.24% (two days), or 13.86% (three days). In exchange that the corresponding credits of 8 hours, 16 hours, or 24 hours of leave will be credited to the employee’s VPLP balance.

b. An employee may accumulate no more than two hundred forty (240) hours of voluntary personal leave. When an employee reaches two hundred (240) hours of personal leave, they shall be removed from the Voluntary PLP.

c. When an employee is removed from the Voluntary PLP, they may not participate for a minimum of twelve (12) months and they are not eligible to re-enroll until their balance is reduced to a maximum of one hundred twenty (120) hours.

d. VPLP shall be requested and used by the employee in the same manner as vacation or annual leave. Requests to use personal leave must be submitted in accordance with departmental policies on vacation and annual leave balances pursuant to Article 9 (Leaves) and Sections 9.1 (Vacation Leave) and 9.11 (Annual Leave).

e. At the discretion of the State, all or a portion of unused personal leave credits may be cashed out at the employee’s salary rate at the time the personal leave payment is made. It is understood by both parties that the application of this cash out provision may differ from department to department and from employee to employee. Upon separation from State employment, the employee shall be paid for unused personal leave credits in the same manner as vacation or annual leave. Cash out or lump sum payment for any Personal Leave credits shall not be considered as “compensation” for purposes of retirement. If funds become available, as determined by the Department of Finance, for the Personal Leave program, departments will offer employees the opportunity to cash out accrued personal leave. Upon retirement/separation, the cash value of the employee’s personal leave balance may be transferred into a State of California, Department of Human Resources Defined Contribution plans as permitted.
f. An employee may not use any kind of paid leave such as sick leave, vacation, or holiday time to avoid a reduction in pay resulting from the Voluntary Personal Leave Program.

g. A State employee in the Voluntary Personal Leave Program shall be entitled to the same level of State employer contributions for health, vision, dental, flex-elect cash option, and enhanced survivor's benefits he or she would have received had the Personal Leave program not occurred.

h. The Voluntary Personal Leave Program shall not cause a break in State service, a reduction in the employee's accumulation of service credit for the purposes of seniority and retirement, leave accumulation, or a merit salary adjustment.

i. The Voluntary Personal Leave Program shall neither affect the employee's final compensation used in calculating State retirement benefits nor reduce the level of State death or disability benefits the employee would otherwise receive or be entitled to receive nor shall it affect the employee's ability to supplement those benefits with paid leave.

j. Part-time employees shall be subject to the same conditions as stated above, on a prorated basis.

k. The Voluntary Personal Leave Program for intermittent employees shall be prorated based upon the number of hours worked in the monthly pay period.

l. The Voluntary Personal Leave Program shall be administered consistent with the existing payroll system and the policies and practices of the State Controller's Office.

m. Employees on EIDL, NDI, ENDI, SDI, IDL or Worker's Compensation for the entire monthly pay period shall be excluded from the Voluntary Personal Leave Program for that month.
ARTICLE 19 — RETIREE HEALTH AND DENTAL BENEFITS

19.1 Prefunding of Post-retirement Health Benefits

The State and Bargaining Unit 2 hereby agree to share in the responsibility toward the prefunding of post-retirement health benefits for members of Bargaining Unit 2; and, agree that the foregoing concepts will be implemented as a means to begin to offset the future financial liability for health benefits for retired members.

A. Beginning July 1, 2017, the State and Bargaining Unit 2 will prefund retiree health care, with the goal of reaching a 50 percent cost sharing of actuarially determined total normal costs for both employer and employees by July 1, 2019. The amount of employee and matching employer contributions required to prefund retiree healthcare shall increase by the following percentages of pensionable compensation:

1. July 1, 2017: by 0.7 percent.
2. July 1, 2018: by 0.6 percent, for a total of 1.3 percent.
3. July 1, 2019: by 0.7 percent, for a total of 2.0 percent.

B. Notwithstanding Government Code Sections 22940, 22942, 22943, 22944, 22944.2, 22944.3, and 22944.5, the employees' monthly contribution for prefunding other post-employment benefits for the 2020-21 and 2021-22 fiscal years, as described in paragraph A, is suspended and shall not be withheld from employees' salaries beginning on the first day of the pay period following ratification, and ending on June 30, 2022. The employer's monthly contribution for prefunding other post-employment benefits will continue in the 2020-21 and 2021-22 fiscal years, as described in paragraph A.

C. Employees Subject to Other Post Employment Benefit (OPEB) Prefunding

All bargaining unit members who are eligible for health benefits must contribute, including permanent intermittent employees. Bargaining unit members whose appointment tenure and/or time base make them ineligible for health benefits, such as: seasonal, temporary, and employees whose time base is less than half time, do not contribute. Bargaining unit members not subject to OPEB prefunding shall begin contributing upon attaining eligibility for health benefits. New hires and employees transferring into Bargaining Unit 2 shall begin contributing immediately, unless they are not subject, as set forth above.

D. Withholding of Contributions

Contributions shall be withheld from employee salary on a pre-tax basis, except for employees receiving disability benefits that require contributions to be withheld post-tax as determined by the State Controller's Office.
Side letter Agreement between the State and California Attorneys, Administrative Law Judges and Hearing Officers in State Employment (CASE)

E.D. Contributions will be deposited in a designated state subaccount for BU 2 of the Annuitant’s Health Care Coverage Fund for the purpose of providing retiree health and dental benefits to state annuitants and dependents associated with BU 2. As defined in Government Code Section 22940, a designated state subaccount is a "separate account maintained within the fund to identify prefunding contributions and assets attributable to a specified state collective bargaining unit or other state entity for the purpose of providing benefits to state annuitants and dependents associated with a specified collective bargaining unit or other state entity."

F.E. Contributions paid pursuant to this Agreement shall not be recoverable under any circumstances to an employee or his/her beneficiary or survivor.

G.F. The costs of administering payroll deductions and asset management shall be deducted from the contributions and/or account balance.

H.G. The parties agree to support any legislation necessary to facilitate and implement prefunding of retiree health care obligations.
ARTICLE 11 — HEALTH AND WELFARE

11.1 Consolidated Benefits (CoBen) Program Description

A. CoBen Allowance

1. Effective on the first day of the pay period following Union ratification of this agreement and upon approval of funding by the Legislature (no retroactivity), the State agrees to continue paying the following contribution rates established on January 1, 2020, for the Consolidated Benefits (CoBen) Allowance. The allowance is based on the Health Benefit party codes in a health plan administered or approved by CalPERS. To be eligible for this contribution, an employee must positively enroll in a health plan administered or approved by CalPERS and/or a dental plan administered or approved by CalHR.

   a) The State shall contribute $630 $655 per month for coverage of an eligible employee. (Party code one)

   b) The State shall contribute $1,245 $1,298 per month for coverage of an eligible employee plus one dependent. (Party code two)

   c) The State shall contribute $1,612 $1,689 per month for coverage of an eligible employee plus two or more dependents. (Party code three)

2. The employer health benefits contribution for each employee shall be a flat dollar amount equal to 80 percent of the weighted average of the Basic health benefit plan premiums for a State active civil service employee enrolled for self-alone, during the benefit year to which the formula is applied, for the four Basic health benefit plans that had the largest State active civil service enrollment, excluding family members, during the previous benefit year. For each employee with enrolled family members, the employer shall contribute an additional flat dollar amount equal to 80 percent of the weighted average of the additional premiums required for enrollment of those family members, during the benefit year to which the formula is applied, in the four Basic health benefit plans that had the largest State active civil service enrollment, excluding family members, during the previous benefit year. The established flat dollar amounts shall be increased or decreased as appropriate pursuant to the formulas above on January 1, 2020-2021 and 2022.

If, at the sole discretion of the Director of Finance, the scheduled 2.5% GSI cannot be paid on July 1, 2022 because of insufficient state revenues and is suspended until January 1, 2023, the flat dollar amount will also be increased or decreased pursuant to the formula in this section on January 1, 2023.
Side letter Agreement between the State and California Attorneys, Administrative Law Judges and Hearing Officers in State Employment (CASE)

The established dollar amount(s) shall not be increased or decreased in subsequent years without a negotiated agreement by both parties.

When an employee is appointed to a new position or class that results in a change in eligibility for the composite rate, the effective date of the change shall be the first of the month following the date the notification is received by the State Controller's Office if the notice is received by the tenth of the month.

3. Enrollment Options

Employees will be permitted to choose a different level of benefit coverage according to their personal needs, and the State's allowance amount will depend on an employee's selection of coverage and number of enrolled dependents. The State agrees to provide the following CoBen benefits:

a) If the employee is enrolled in both a health plan administered or approved by CalPERS and a dental plan administered or approved by CalHR, the health benefit enrollment party code will determine the allowance amount.

b) If the employee declines a health benefit plan which is administered or approved by CalPERS and certifies that he/she has qualifying group health coverage as defined by CalHR from another source, the employee's dental benefit enrollment party code will determine the amount of the contribution.

c) If the employee elects not to enroll in a health plan administered or approved by CalPERS and in a dental plan administered or approved by CalHR and certifies that he/she has qualifying group health coverage and dental coverage as defined by CalHR from other sources, the employee may enroll in the CoBen Cash Option program during the open enrollment period or as newly eligible to receive $155 in taxable cash per month. Cash will not be paid in lieu of vision benefits and employees may not disenroll from vision coverage. Employees do not pay an administrative fee.

d) If the employee elects not to enroll in a health plan administered or approved by CalPERS and certifies that he/she has qualifying group health coverage as defined by CalHR from another source, but enrolls in a dental plan administered or approved by CalHR, the employee may enroll in the CoBen Cash Option program during the open enrollment period or as newly eligible to receive $130 per month. (The State will pay the premium cost of the dental plan and vision plan.) Cash will not be paid in lieu of dental benefits only or vision benefits, and employees may not disenroll from vision coverage. Employees do not pay an administrative fee.

e) Permanent Intermittent (PI) employees shall be eligible to participate in the CoBen Cash Option and receive a six-month cash payment for the first control period of each plan year if they certify having qualifying group health or health and dental coverage, as defined by CalHR, from another source and meet all of the following criteria:

(1) must be eligible to enroll in health or health and dental coverage as of January 1 of the Plan Year for which they are enrolling and;
Side letter Agreement between the State and California Attorneys, Administrative Law Judges and Hearing Officers in State Employment (CASE)

(2) must have a PI appointment that is effective from January 1 through June 30 of the Plan Year for which they are enrolling and;

(3) must be credited for at least four hundred eighty (480) paid hours during the January through June control period of the Plan Year for which they are enrolling and;

(4) must have submitted the enrollment form during the CoBen open enrollment period or as newly eligible.

This subdivision is not grievable or arbitrable.

g) If the monthly cost of any of the State’s benefit plans (health, dental and vision) in which an employee elects to enroll exceeds the State's maximum allowance amount as set forth in subsection A.1.a., b. or c, or A.2., above, the employee shall pay the difference on a pre-tax basis. If there is money left over after the cost of these benefits is deducted, the remaining amount will be paid to the employee as taxable cash.

B. Health Benefits Eligibility

1. Employee Eligibility

For purposes of this section, “eligible employee” shall be defined by the Public Employees’ Medical and Hospital Care Act.

2. Permanent Intermittent (PI) Employees

a) Initial Eligibility – A permanent intermittent employee will be eligible to enroll in health benefits if the employee has been credited with a minimum of 480 paid hours in a PI control period. For purposes of this section, the control periods are January 1 through June 30 and July 1 through December 31 of each calendar year. An eligible permanent intermittent employee must enroll in a health benefit plan within 60 calendar days from the end of the qualifying control period.

b) Continuing Eligibility – To continue health benefits, a permanent intermittent employee must be credited with a minimum of 480 paid hours in a control period or 960 paid hours in two consecutive control periods.

3. Family Member Eligibility

For purposes of this section, “eligible family member” shall be defined by the Public Employees’ Medical and Hospital Care Act and includes domestic partners that have been certified with the Secretary of State’s office in accordance with AB-26 (Chapter 588, Statutes of 1999).

4. The parties agree to work cooperatively with CalPERS and the health plans to control premium increases.
C. Dental Benefits

1. Contribution

The employer contribution for dental benefits shall be included in the Consolidated Benefits Allowance as specified in subsection A.1 and A.2 of this agreement.

2. Employee Eligibility

Employee eligibility for dental benefits will be the same as that prescribed for health benefits under subsection B.1 and B.2 of this agreement.

3. Family Member Eligibility

Family member eligibility for dental benefits is the same as that prescribed for health benefits under subsection A.2 and B.3 of this agreement.

D. Vision Benefit

1. Program Description

The employer agrees to provide a vision benefit to eligible employees and dependents. The employer contribution rates for the vision benefit shall be included in the Consolidated Benefits Allowance as specified in subsection A.1 and A.2. The vision benefit provided by the State shall have an employee copayment of $10 for the comprehensive annual eye examination and $25 for materials.

2. Employee Eligibility

Employee eligibility for vision benefits is the same as that prescribed for health benefits under subsection B.1 and B.2 of this agreement.

3. Family Member Eligibility

Family member eligibility for vision benefits is the same as that prescribed for health benefits under subsection A.2 and B.3 of this agreement.

E. Flex Elect Program

1. Program Description

The State agrees to provide a flexible benefits program (FlexElect) under Internal Revenue Code Section 125 and related Sections 105(b), 129, and 213(d). All participants in the FlexElect Program shall be subject to all applicable state and federal laws and any related administrative provisions adopted by CalHR. The administrative fee paid by participants will be determined each year by CalHR.

2. Employee Eligibility

To be eligible to enroll in the FlexElect Medical Reimbursement Account and the Dependent Care Reimbursement Account, employees must have a permanent
Side letter Agreement between the State and California Attorneys, Administrative Law Judges and Hearing Officers in State Employment (CASE)

appointment with a time-base of half time or more and have permanent status, or if in a limited term or a temporary authorized (TAU) position, must have mandatory return rights to a permanent position (not Permanent Intermittent). Permanent Intermittent employees are not eligible for the FlexElect Medical Reimbursement Account or the Dependent Care Reimbursement Account.
ARTICLE 5 — Salaries

5.13 Health Care Affordability

A. All CASE represented employees enrolled in a CalPERS health plan will continue to receive a monthly payment of $260, regardless of party code enrollment. This payment will be provided for twelve (12) months commencing July 2019 pay period expiring with the June 2020 pay period.

B. This payment shall not be considered as "compensation" for the purposes of retirement and will cease to be paid, once the Personal Leave Program 2020 is eliminated, or no later than June 30, 2022.

C. This section not grievable beyond step 3 of the grievance process, and as a result is not arbitrable.
Article: 14 – RETIREMENT PROVISIONS

Subject: 14.3 First Tier A Retirement Formula (2% at age 55), First Tier B Retirement Formula (2% at age 60), and Public Employees' Pension Reform Act (PEPRA) Retirement Formula (2% at age 62)

A. First Tier members first employed by the State prior to January 15, 2011, are subject to the First Tier A retirement formula.

B. First Tier retirement members first employed by the State on or after January 15, 2011, and prior to January 1, 2013, are subject to the First Tier B Retirement Formula. The First Tier B Retirement Formula does not apply to:

1. Former state employees who return to state employment on or after January 15, 2011.

2. State employees hired prior to January 15, 2011, who were subject to the Alternate Retirement Program (ARP).


4. Persons who are already members or annuitants of the California Public Employees’ Retirement System (CalPERS) as state employees prior to January 15, 2011.

The above categories are subject to the First Tier A retirement formula.

C. Employees who are brought into CalPERS membership for the first time on or after January 1, 2013, and who are not eligible for reciprocity with another California public employer as provided in Government Code Section 7522.02(c), shall be subject to the “PEPRA Retirement Formula.” As such, the PEPRA changes to retirement formulas and pensionable compensation caps apply only to new CalPERS members subject to PEPRA as defined under PEPRA.
D. First Tier Retirement Formulas

The table below lists the First Tier age/benefit factors for First Tier A, First Tier B, and PEPRA First Tier retirement formulas.

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<th>First Tier A Formula (2% at age 55) G.C. 21354.1</th>
<th>First Tier B Formula (2% at age 60) G.C. 21353</th>
<th>PEPRA Formula (2% at age 62) G.C. 7522.20</th>
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The factors for attained quarter ages, such as 52 3/4, will be included in CalPERS law. The retirement quarter age benefit factors will apply for service rendered on and after the effective date of the memorandum of understanding between the State and the Union. The quarter factors will also apply to past service that is credited under the First Tier A, First Tier B, and the PEPRA First Tier formulas.

E. Employee Retirement Contribution

1. As stated in Government Code Section 20677.5, Bargaining Unit 2 miscellaneous and industrial members in the First Tier retirement or the ARP, subject to social security, shall contribute nine percent (9%) of monthly compensation in excess of $513 for retirement.

2. As stated in Government Code Section 20677.5, Bargaining Unit 2 miscellaneous and industrial members in the First Tier retirement or the ARP, plan not subject to
social security, shall contribute ten percent (10%) of monthly compensation in excess of $317 for retirement.

3. Effective July 1, 2022, Bargaining Unit 2 miscellaneous members in the First Tier retirement or the ARP, subject to social security, shall contribute eight and one half percent (8.5%) in excess of $513 for retirement, and Bargaining Unit 2 miscellaneous members in the First Tier retirement or the ARP, plan not subject to social security, shall contribute nine and one-half percent (9.5%) of monthly compensation in excess of $317 for retirement.

4. Effective July 1, 2023, the employee contribution rates described in 14.3(E)(1), 14.3(E)(2), or 14.3(E)(3) shall only be adjusted if CalPERS determines the total normal cost rate increases or decreases by more than 1 percent of payroll above or below the total normal cost rate, rounded to the nearest quarter of 1 percent, is greater or lesser than employee contribution rate described in 14.3(E)(1), 14.3(E)(2), or 14.3(E)(3). Each year thereafter, it shall only be adjusted if CalPERS determines the total normal cost rate increases or decreases by more than 1 percent of payroll above or below the total normal cost rate in effect at the time the employee contribution rate was last adjusted. Furthermore, the increase or decrease to the employee contribution in any given fiscal year shall not exceed 1 percent per year. Employee contributions will continue to be a percentage of pensionable compensation in excess of $513 for retirement if subject to social security or in excess of $317 for retirement if not subject to social security.

F. Final Compensation

Final compensation for an employee who is employed by the State for the first time and becomes a member of CalPERS prior to July 1, 2006, is based on the highest average monthly pay rate during twelve (12) consecutive months of employment.

Final compensation for an employee who is employed by the State for the first time and becomes a member of CalPERS on or after July 1, 2006, is based on the highest average monthly pay rate during thirty-six (36) consecutive months of employment.

State Safety A Retirement Formula (2.5% at age 55), State Safety B Formula (2% at age 55), and PEPRA State Safety Formula (2% at age 57)

A. State Safety members first employed by the State prior to January 15, 2011, are subject to the State Safety A Retirement Formula.

B. State Safety retirement members first employed by the State on or after January 15, 2011, and prior to January 1, 2013, are subject to the State Safety B Retirement Formula. The State Safety B Retirement Formula does not apply to:

1. Former State employees who return to state employment on or after January 15, 2011.

2. State employees hired prior to January 15, 2011, who were subject to the ARP.
Side letter Agreement between the State and California Attorneys, Administrative Law Judges and Hearing Officers in State Employment (CASE)


4. Persons who are already members or annuitants of the California Public Employees' Retirement System as state employees prior to January 15, 2011.

The above categories are subject to the State Safety A Retirement Formula.

C. Employees who are brought into CalPERS membership for the first time on or after January 1, 2013, and who are not eligible for reciprocity with another California public employer as provided in Government Code Section 7522.02(c), shall be subject to the "PEPRA Retirement Formula." As such the PEPRA changes to retirement formulas and pensionable compensation caps apply only to new CalPERS members subject to PEPRA as defined under PEPRA.


<table>
<thead>
<tr>
<th>Age at Retirement</th>
<th>State Safety A Formula (2.5% at age 55) G.C. 21369.1</th>
<th>State Safety B Formula (2% at age 55) G.C. 21369</th>
<th>PEPRA State Safety Formula (2% at age 57) G.C. 7522.25(b)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Employees hired prior to January 15, 2011</td>
<td>Employees first hired on and after January 15, 2011, and prior to January 1, 2013</td>
<td>Employees eligible for CalPERS Membership for the first time on and after January 1, 2013</td>
</tr>
<tr>
<td>50</td>
<td>1.7000</td>
<td>1.426</td>
<td>1.426</td>
</tr>
<tr>
<td>51</td>
<td>1.8000</td>
<td>1.522</td>
<td>1.508</td>
</tr>
<tr>
<td>52</td>
<td>1.9000</td>
<td>1.628</td>
<td>1.590</td>
</tr>
<tr>
<td>53</td>
<td>2.0000</td>
<td>1.742</td>
<td>1.672</td>
</tr>
<tr>
<td>54</td>
<td>2.2500</td>
<td>1.866</td>
<td>1.754</td>
</tr>
<tr>
<td>55 and over</td>
<td>2.5000</td>
<td>2.000</td>
<td>1.836</td>
</tr>
<tr>
<td>56</td>
<td>N/A</td>
<td>N/A</td>
<td>1.918</td>
</tr>
<tr>
<td>57 and over</td>
<td>N/A</td>
<td>N/A</td>
<td>2.000</td>
</tr>
</tbody>
</table>

E. The factors for attained quarter ages, such as 52 1/4, will be included as stated in CalPERS law. The retirement quarter age benefit factors will apply for service rendered on and after the effective date of the memorandum of understanding between the State and the Union. The quarter factors will also apply to past service that is credited under the State Safety A, State Safety B, and PEPRA State Safety retirement formulas.

F. Employee Retirement Contribution

1. As stated in Government Code Section 20683.2, effective July 1, 2013, State Safety members shall contribute an additional one percent (1%) to retirement. Accordingly,
Side letter Agreement between the State and California Attorneys, Administrative Law Judges and Hearing Officers in State Employment (CASE)

State Safety members shall contribute eleven percent (11%) of monthly pensionable compensation in excess of $317 for retirement.

2. Effective July 1, 2020, the employee contribution rates described in 14.3(F)(1) for State Safety A, State Safety B, and PEPRA State Safety retirement formulas shall be increased by 0.5 percent (0.5%). State Safety members shall contribute eleven and one half percent (11.5%) of pensionable compensation in excess of $317 for retirement.

3. Effective July 1, 2023, the employee contribution rates described in 14.3(F)(2) shall only be adjusted if CalPERS determines the total normal cost rate increases or decreases by more than 1 percent of payroll above or below the total normal cost rate, rounded to the nearest quarter of 1 percent, is greater or lesser than employee contribution rate described in 14.3(F)(2). Each year thereafter, it shall only be adjusted if CalPERS determines the total normal cost rate increases or decreases by more than 1 percent of payroll above or below the total normal cost rate in effect at the time the employee contribution rate was last adjusted. Furthermore, the increase to the employee contribution in any given fiscal year shall not exceed 1 percent per year. Employee contributions will continue to be a percentage of pensionable compensation in excess of $317 for retirement.

G. Final Compensation

Final compensation for an employee who is employed by the State for the first time and becomes a member of CalPERS prior to July 1, 2006, is based on the highest average monthly pay rate during twelve (12) consecutive months of employment.

Final compensation for an employee who is employed by the State for the first time and becomes a member of CalPERS on or after July 1, 2006, is based on the highest average monthly pay rate during thirty-six (36) consecutive months of employment.

Second-Tier Retirement Plan

Unit 2 members may participate in the Second-Tier retirement plan as prescribed by Government Code Section 21070.5.

A. Second Tier members first employed by the State and subject to CalPERS membership prior to January 1, 2013, are subject to the Pre-PEPRA Second Tier retirement formula.

B. Employees who are brought into CalPERS membership for the first time on or after January 1, 2013, and who are not eligible for reciprocity with another California public employer as provided in Government Code Section 7522.02(c), shall be subject to the “PEPRA Retirement Formula.” As such, the PEPRA changes to retirement formulas and pensionable compensation caps apply only to new CalPERS members subject to PEPRA as defined under PEPRA.

C. The table below lists the Second Tier age/benefit factors for the Pre-PEPRA and PEPRA retirement formulas.
D. Employee Retirement Contribution

As stated in Government Code Section 20683.2, effective July 1, 2013, Second Tier members, including ARP members, shall contribute one and one-half percent (1.5%) of monthly pensionable compensation for retirement, and will increase by 1.5% points annually. The first annual increase in the contribution rate shall be adjusted as appropriate to reach fifty percent (50%) of normal cost.

E. Final Compensation

Final Compensation for an employee, who is employed by the State for the first time and becomes a member of CalPERS prior to January 15, 2011, is based on the highest average monthly pay rate during twelve (12) consecutive months of employment.

Final Compensation for an employee, who is employed by the State for the first time and becomes a member of CalPERS on or after January 15, 2011, is based on the highest average monthly pay rate during thirty-six (36) consecutive months of employment.

Public Employees' Pension Reform Act of 2013 (PEPRA)

A. PEPRA Definition of “Pensionable Compensation”
Side letter Agreement between the State and California Attorneys, Administrative Law Judges and Hearing Officers in State Employment (CASE)

Retirement benefit for employees subject to PEPRA are based upon the highest average pensionable compensation during a thirty-six (36) month period. Pensionable compensation shall not exceed the applicable percentage of the contribution and benefit base specified in Title 42 of the United State Code Section 430 (b). The 2019 limits are $124,180 for members subject to Social Security and $149,016 for members not subject to Social Security. The limit shall be adjusted annually based on changes to the Consumer Price Index for all Urban Consumers.

B. Alternate Retirement Program – New Employees

Employees first hired on or after July 1, 2013, shall not be subject to the ARP. Existing ARP members are required to complete the twenty-four (24) month enrollment period. Upon completion of the twenty-four (24) month period, the employee shall make contributions to CalPERS. ARP members shall continue to be eligible for payout options beginning the first day of the 47th month of employment and ending on the last day of the 49th month of employment following his or her initial ARP hire date.

C. Equal Sharing of Normal Cost

As stated in Government Code Sections 7522.30 and 20683.2, equal sharing between the State employer and State employees of the normal cost of the defined benefit plans shall be the standard for all plans and employees. It shall be the standard that all employees pay at least fifty percent (50%) of the normal cost and the State employer shall not pay any of the required employee contributions. "Normal cost" is determined annually by CalPERS.
9.1 Vacation Leave

A. Employees shall not be entitled to vacation leave credit for the first six (6) months of service. On the first day of the monthly pay period following completion of six (6) qualifying monthly pay periods of continuous service, all full-time employees covered by this Section shall receive a one-time vacation bonus of forty-two (42) hours of vacation credit. Part-time employees shall be allowed, on a pro-rata basis, the fractional part of the bonus vacation credit. Thereafter, for each additional qualifying monthly pay period, full-time employees shall be allowed credit for vacation with pay on the first day of the following monthly pay periods as follows:

<table>
<thead>
<tr>
<th>Duration</th>
<th>Hours per Month</th>
</tr>
</thead>
<tbody>
<tr>
<td>7 months to 3 years</td>
<td>7</td>
</tr>
<tr>
<td>37 months to 10 years</td>
<td>10</td>
</tr>
<tr>
<td>121 months to 15 years</td>
<td>12</td>
</tr>
<tr>
<td>181 months to 20 years</td>
<td>13</td>
</tr>
<tr>
<td>Over 20 years</td>
<td>14</td>
</tr>
</tbody>
</table>

An employee who returns to State service after an absence of six (6) months or longer, caused by a permanent separation, shall receive a one-time vacation bonus on the first monthly pay period following completion of six (6) qualifying pay periods of continuous service in accordance with the employee's total State service before and after the absence.

B. A full-time employee who has eleven (11) or more working days of service in a monthly pay period shall receive vacation leave credits as set forth under Subsection a., above. Absences from State service resulting from a temporary or permanent separation for more than eleven (11) consecutive working days which fall into two (2) consecutive qualifying pay periods shall disqualify the second pay period.

C. Part-Time Employees

For each additional qualifying monthly pay period, the employee shall be allowed credit for Vacation with pay on the first day of the following monthly pay period as follows:
CHART FOR COMPUTING VACATION, SICK LEAVE, AND HOLIDAY CREDITS FOR ALL FRACTIONAL TIME BASE EMPLOYEES SUPERCEDES ACCRUAL RATES IN MANAGEMENT MEMORANDUM 84-20-1

<table>
<thead>
<tr>
<th>TIME BASE</th>
<th>HOURS OF MONTHLY VACATION CREDIT PER VACATION GROUP</th>
<th>HOURS OF MONTHLY SICK LEAVE AND HOLIDAY CREDIT</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>1.40  2.00  2.20  2.40  2.60  2.80  3.00  1.60</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>2.80  4.00  4.40  4.80  5.20  5.60  6.00  3.20</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>4.20  6.00  6.60  7.20  7.80  8.40  9.00  4.80</td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>5.60  8.00  8.80  9.60 10.40 11.20 12.00 6.40</td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>1/5  1.40  2.00  2.20  2.40  2.60  2.80  3.00</td>
<td></td>
</tr>
<tr>
<td>14</td>
<td>2/5  2.80  4.00  4.40  4.80  5.20  5.60  6.00</td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>3/5  4.20  6.00  6.60  7.20  7.80  8.40  9.00</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>4/5  5.60  8.00  8.80  9.60 10.40 11.20 12.00</td>
<td></td>
</tr>
</tbody>
</table>

D. Permanent Intermittent (PI) Employees

A PI employee will be eligible for vacation leave credit with pay on the first day of the following qualifying monthly pay period following completion of nine hundred sixty (960) hours of compensated work. Thereafter, a PI employee will be eligible for vacation credit with pay in accordance with the schedule in Article 9, Section 9.1(A), on the first day of the qualifying monthly pay period following completion of each period of one hundred sixty (160) hours of paid employment. The hours in excess of one hundred sixty (160) hours in a qualifying monthly pay period shall not be counted or accumulated.
Side letter Agreement between the State and California Attorneys, Administrative
Law Judges and Hearing Officers in State Employment (CASE)

When it is determined that there is a lack of work for an intermittent employee, a
department head or designee may:

1. Pay the employee in a lump sum payment for accumulated vacation leave
   credits; or

2. Schedule the employee for vacation leave; or

3. Allow the employee to retain his/her vacation credits, or

4. Effect a combination of (1), (2), or (3) above.

E. If an employee does not use all of the vacation that the employee has accrued in
a calendar year, the employee may carry over his/her accrued vacation credits to
the following calendar year to a maximum of six hundred forty (640) hours. A
department head or designee may permit an employee to carry over more than
six hundred forty (640) hours of accrued vacation leave hours if an employee
was unable to reduce his/her accrued hours because the employee was:

1. Required to work as a result of fire, flood, or other extensive emergency;

2. Assigned work of a priority or critical nature over an extended period of time;

3. Absent on full salary for compensable injury;

4. Prevented by department regulations from taking vacation until December 31
   because of sick leave; or

5. On jury duty; or,

6. Prevented by the department head or designee from utilizing accrued
   vacation.

F. It is the employee's responsibility to utilize all vacation hours in excess of the six
   hundred forty (640) hour cap by the end of each calendar year unless otherwise
   prevented from doing so as enumerated in subsection D (1-6) above. Whenever
an employee's vacation accumulation exceeds six hundred forty (640) hours, the
department head or designee has the right to order the employee to submit a
vacation request which will demonstrate how and when the employee plans to
use any hours which will exceed the cap by the end of the calendar year. If the
employee does not use the time as planned for reasons other than those listed
above, the department head or designee may then order the employee to take
the excess time at the convenience of the department.

The 640 cap shall be increased by the equivalent number of Personal Leave
Program (PLP) 2020 hours employees have been subjected to until June 30,
2025.
Side letter Agreement between the State and California Attorneys, Administrative Law Judges and Hearing Officers in State Employment (CASE)

G. Upon termination from State employment, the employee shall be paid for accrued vacation credits for all accrued vacation time.

H. Vacation requests must be submitted in accordance with departmental policies on this subject. Vacation shall be taken as agreed by the employee and the department head or designee. Requests for vacation may be denied for operational needs. However, when two or more employees on the same shift (if applicable in a work unit (as defined by each department head or designee) request the same vacation leave time and approval cannot be given to all employees requesting it, employees shall be granted their preferred vacation leave period in order of State seniority.

I. If an employee's failure to take a vacation for an extended period of time adversely affects his/her work performance, the employee may be required to take vacation leave.

J. Each department head or designee will make every effort to act on vacation requests in a timely manner.

K. Vacations will be cancelled only when operational needs require it.

L. For WWG 2 employees, vacation leave credits may be used in thirty (30) minute increments, except that fractional vacation leave credits may be used where/when accumulated.
9.11 Annual Leave Program

A. Employees may elect to enroll in the annual leave program to receive annual leave credit in lieu of vacation and sick leave credits. Employees enrolled in the annual leave program may elect to enroll in the vacation and sick leave program at any time except that once an employee elects to enroll in either the annual leave program or vacation and sick leave program, the employee may not elect to enroll in the other program until twenty four (24) months has elapsed from date of enrollment.

B. Each full-time employee shall receive credit for annual leave in lieu of the vacation and sick leave credits of this agreement in accordance with the following schedule:

- 1 month to 3 years: 11 hours per month
- 37 months to 10 years: 14 hours per month
- 121 months to 15 years: 16 hours per month
- 181 months to 20 years: 17 hours per month
- 241 months and over: 18 hours per month

Employees shall have the continued use of any sick leave accrued as of the effective date of this Agreement, in accordance with applicable laws, rules, or memorandum of understanding.

Part-time employees shall accrue proportional Annual Leave credits, in accordance with the chart shown below:

<table>
<thead>
<tr>
<th>TIME BASE</th>
<th>HOURS OF MONTHLY CREDIT PER ANNUAL LEAVE GROUP</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>11</td>
</tr>
<tr>
<td>9/10</td>
<td>9.90</td>
</tr>
<tr>
<td>7/10</td>
<td>7.70</td>
</tr>
<tr>
<td>3/10</td>
<td>3.30</td>
</tr>
<tr>
<td>1/10</td>
<td>1.10</td>
</tr>
<tr>
<td>7/8</td>
<td>9.63</td>
</tr>
<tr>
<td>3/4</td>
<td>8.25</td>
</tr>
<tr>
<td>5/8</td>
<td>6.88</td>
</tr>
</tbody>
</table>
A PI employee will be eligible for annual leave credit with pay in accordance with the schedule in section B above, on the first day of the qualifying monthly pay period following completion of each period of one hundred sixty (160) hours of paid employment. The hours in excess of one hundred sixty (160) hours in a qualifying monthly pay period shall not be counted or accumulated. When it is determined that there is a lack of work, a department head or designee may:

a. Pay the PI employee in a lump-sum payment for accumulated annual leave credits; or

b. By mutual agreement, schedule the PI employee for annual leave; or

c. Allow the PI employee to retain his/her annual leave credits; or

d. Effect a combination of a, b, or c, above.

All provisions necessary for the administration of this Section shall be provided by CALHR rule or memorandum of understanding.

C. A full-time employee who has eleven (11) or more working days of service in a monthly pay period shall earn annual leave credits as set forth in CALHR Rules 599.608 and 599.609.

Absences from State service resulting from a temporary or permanent separation for more than eleven (11) consecutive days which fall into two (2) consecutive qualifying pay periods shall disqualify the second pay period.

D. Employees who work in multiple positions may participate in annual leave, provided an election is made while employed in an eligible position subject to these provisions. Annual leave accrual for employees in multiple positions will be computed by combining all positions, as in vacation leave, provided the result does not exceed the amount earnable in full-time employment, and the rate of accrual shall be determined by the schedule which applies to the position or collective bargaining status under which the election was made.
E. If an employee does not use all of the annual leave that the employee has accrued in a calendar year, the employee may carry over his/her accrued annual leave credits to the following calendar year to a maximum of six hundred forty (640) hours. A department head or designee may permit an employee to carry over more than six hundred forty (640) hours of accrued hours because the employee: (1) was required to work as a result of fire, flood, or other extensive emergency; (2) was assigned work of a priority or critical nature over an extended period of time; (3) was absent on full salary for compensable injury; (4) was prevented by department regulations from taking annual leave until December 31 because of sick leave; or (5) was on jury duty.

The 640 hour cap shall be increased by the equivalent number of Personal Leave Program (PLP) 2020 hours employees have been subject to until June 30, 2025.

F. Upon termination from State employment, the employee shall be paid for accrued annual leave credits for all accrued annual leave time.

G. The time when annual leave shall be taken by the employee shall be determined by the department head or designee. If on January 1 of each year an employee’s annual leave bank exceeds the cap in Subsection e., the department may order the employee to take annual leave.

H. Annual leave requests must be submitted in accordance with departmental policies on this subject. However, when two or more employees on the same shift (if applicable) in a work unit (as defined by each department head or designee) request the same annual leave time and approval cannot be given to all employees requesting it, employees shall be granted their preferred annual leave period in order of State seniority.

I. Each department head or designee will make every effort to act on annual leave requests in a timely manner.

J. Annual leave that is used for purposes of sick leave is subject to the requirements set forth in section 9.3, Sick Leave, of this agreement.

K. The Enhanced Non-Industrial Disability Insurance (ENDI) in Section 11.7 applies only to those in the annual leave program described above in this Section.

L. Employees who are currently subject to vacation and sick leave provisions may elect to enroll in the annual leave program at any time after twenty four (24) months has elapsed from date of last enrollment. The effective date of the election shall be the first day of the pay period in which the election is received by the appointing power. Once enrolled in annual leave, an employee shall become entitled to an enhanced NDI benefit (50 percent of gross salary).
Contract Reopener Language - Elimination of Pay Decreases and Suspensions

A. Effective the first day of the pay period following ratification through the June 2022 pay period, due to the significant economic impacts of the COVID-19 Recession, in accordance with Section 3517.6 of the Government Code, notwithstanding any other provision of law, the following economic provisions of the existing memorandum of understanding (MOU), which may require the expenditure of funds for increased salaries and wages that were to become effective at any point during the 2020-21 and 2021-22 fiscal year, are hereby suspended:

- Section 5.1 Salaries.

B. The remainder of the MOU, including economic terms of the agreement not specifically related to the various pay items listed in paragraph A, such as the amount necessary for the payment of compensation and employee benefits that were in effect prior to the 2020-21 fiscal year, shall continue in full effect, subject to the reductions agreed to in Article xx Personal Leave Program 2020. Notwithstanding any other provisions of law, this MOU represents the only entitlement to payment of compensation and employee benefits.

C. The determination of sufficient funding relative to this section and Article xx Personal Leave Program 2020 shall be at the sole discretion of the Director of the Department of Finance if either of the following circumstances occur:

1. If the Director of the Department of Finance, as a result of appropriate federal legislation providing additional funding to the state to address the impacts of the COVID-19 Recession, elects to restore, at their sole discretion, some or all of the various pay items that have been suspended or reduced.

2. If the Director of the Department of Finance, as a result of state revenue becoming sufficient to fully fund existing statutory and constitutional obligations, existing fiscal policy, and the cost of providing the various pay items that have been suspended or reduced as a result of the COVID-19 Recession, elects to restore, at their sole discretion, some or all of the various pay items that have been suspended or reduced.
D. A General Salary Increase of 2.5% will become effective on July 1, 2022. However, if projected state revenues at the 2022-23 May Revision to the Governor’s Budget continue to be insufficient to fully fund existing statutory and constitutional obligations, existing fiscal policy, and the costs of providing the aforementioned pay increases to all eligible employees, the General Salary Increase of 2.5% shall become effective on July 1, 2023.

E. No provision of this Article shall be subject to the grievance and arbitration procedure; this Article is specifically not subject to arbitration.
15.10 Workers’ Compensation Judge II Classification

The California Department of Human Resources (CalHR) agrees to prepare a classification proposal to create a Workers’ Compensation Judge II class and revisions to the existing Workers’ Compensation Judge I specifications to be submitted, within 6 months of the ratification of this agreement, to the State Personnel Board upon completion for the Board’s review and approval. There is no additional funding in this contract for the establishment of these positions.
Side letter Agreement between the State and California Attorneys, Administrative Law Judges and Hearing Officers in State Employment (CASE)

Signature:  
Jacquelyn Sanders  
CalHR Labor Relations Officer

Date: 6/30/20

Signature:  
Brooks Ellison  
Chief Negotiator

Date: 6/30/2020